Attorney's Docket No.: 17102-010001 / 1759

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ault Riche et al. Sérial No.: 10/699,114

che et al. Art Unit : 1645 114 Examiner : Unknown

Filed

: October 30, 2003

Customer No.: 20985

Confirmation No.: 7825

Title : SYS

: SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL

PARTICLES AND METHODS USING THE SYSTEMS

#### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement and Forms PTO-1449 (3) pages and cited references for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie I. Seidman

Dated: November 3, 2004

Attorney Docket No. 17102-010001/1759

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com

Attorney's Docket No.: 17102-010001 / 1759



Applicant: Ault Riche et al. Art Unit : 1645 Serial No.: 10/699,114 Examiner: Unknown Filed : October 30, 2003 Customer No.: 20985

Confirmation No.: 7825

Title : SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES

AND METHODS USING THE SYSTEMS

#### Mail Stop Amendment

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

Because this Supplemental Information Disclosure Statement is before receipt of a first Office Action on the Merits of the above-captioned application, no fee is enclosed. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (3 pages) and copies of the cited references are provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Applicant also makes known to the Examiner the following related, co-pending applications:

U.S.S.N.	Filing Date	Docket No.
10/699,088	10/30/03	1754
10/699,113	10/30/03	1755
10/806,924	3/22/04	1760

Applicant: Ault Riche et al.

Serial No.: 10/699,114 Filed: October 30, 2003

Supplemental IDS Page : 2 of 2



Attorney's Docket No.: 17102-010001 / 1759

60/536,184	1/12/04	P1762
60/557,591	3/29/04	P1762B

Int'l Appln. No.	Filing Date	Docket No.
PCT/US03/34821	10/30/03	1754PC
PCT/US03/34747	10/30/03	1755PC
PCT/US03/34693	10/30/03	1759PC

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie L. Seidman

Reg. No. 33,779

Dated November 3, 2004

Attorney's Docket No.: 17102-010001 / 1759

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130

Telephone: (858) 678-5070 Facsimile: (202) 626-7796 Email: seidman@fr.com

10427441.doc

NOV 0 4 2004

Substitute Form PTO-1449

"Addified)

"Addified)

epartment of Commerce ent and Trademark Office

Attorney's Docket No. 17102-010001 / 1759 Application No. 10/699,114

## List of Patents and Publications for Applicant's **Information Disclosure Statement**

Applicant Ault Riche et al.

Filing Date

October 30, 2003

Group Art Unit 1645

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A	4,591,570	05/27/86	Chang	435	7.24	02/02/83
	В	4,829,010	05/09/89	Chang	436	518	03/13/87
	С	4,977,078	12/11/90	Niimura iet al.	435	7.24	12/22/87
	D	5,100,777	03/31/92	Chang	435	7.24	04/27/87
	Е	5,324,591	06/28/94	Georger, Jr. et al.	428	552	10/16/90
	F	5,510,628	04/23/96	Georger, Jr. et al.	257	32	03/07/94
	G	5,556,752	09/17/96	Lockhart et al.	435	6	10/24/94
	Н	5,593,848	01/14/97	Levine et al.	435	7.24	11/07/94
	I	5,635,358	06/03/97	Wilding et al.	435	7.2	02/14/94
	J	5,695,946	12/09/97	Benjamin et al.	435	7.32	05/03/95
,	K	5,736,257	04/07/98	Conrad et al.	428	474.4	04/25/95
	L	5,750,346	05/12/98	Bridgham et al.	435	6	08/07/96
	М	5,837,465	11/17/98	Squirrell et al.	435	6	02/28/97
<del> </del>	N	5,847,019	12/08/98	Conrad et al	522	2	03/07/97
	0	6,017,719	01/25/00	Tseng-Law et al.	435	7.21	06/07/95
	P	6,037,186	03/14/00	Stimpson	436	518	07/16/97
	Q	6,251,615	06/26/01	Oberhardt	435	7.21	02/18/99
	R	6,306,664	10/23/01	Stimpson	436	518	06/21/99
	S	6,387,636	05/14/02	Perbost et al.	435	7.1	10/22/99
	Т	6,428,957	08/06/02	Delenstarr	435	6	11/0/99
	U	6,432,653	08/13/02	Okarma	435	7.21	08/17/94
	V	6,451,527	09/17/02	Larocca et al.	435	6	02/26/99
	w	6,682,942	01/27/04	Wagner et al.	436	518	05/12/00
	х	2001/0044112	11/22/01	Lyles	435	6	03/24/01
	Y	2002/0064774	05/30/02	Schembri et al.	435	6	04/27/99
	Z	2002/0068272	06/06/02	Larocca et al.	435	5	05/24/01
-	AA	2002/0076829	06/20/02	Stimpson	436	514	09/14/01

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES AND METHODS USING THE SYSTEMS

Substitute Form PTO-1440 U.S. Department of Commerce (Modified)  U.S. Department of Commerce Pates and Trademark Office	Attorney's Docket No. 17102-010001 / 1759	Application No. 10/699,114
List of Patents and Publications for Applicant's Information Disclosure Statement	Applicant Ault Riche et al.	
(37 CFR §1.98(b))	Filing Date October 30, 2003	Group Art Unit 1645

	Foreig	n Patent Doo	uments or P	ublished Foreign	Patent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AB	00/24937	05/04/00	PCT				
	AC	00/39580	07/06/00	PCT				
	AD	04/042019	05/21/04	PCT				7
	AE	98/23950	06/04/98	PCT				
	AF	99/32663	07/01/99	PCT				
	AG	99/39210	08/05/99	PCT				
	AH	99/60007	11/25/99	PCT				

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.				
Initial	ID	Document			
	AI	Boyde et al., "Vital Confocal Microscopy in Bone," Scanning 17: 72-85 (1995)			
	AJ	Castagnoli et al., "Alternative Bacteriophage Display Systems," Combinatorial Chemistry & High Throughput Screening 4(2):121-133 (2001)			
	AK	Constans, A., "Do-It-Yourself Protein Arrays: Pierce's DiscoverLight Protein Array Kits simplify analysis," The Scientist 17(2): 38 (2003)			
	AL	Delagrave et al., "Red-shifted excitation mutants of the green fluorescent protein," Bio/Technology 13:151-154 (1995)			
	AM	Edmundson, A.B. et al, "Binding of peptides to proteins: an exercise in molecular design," Proceedings of the Symposium on Host-Guest molecular interactions: from chemistry to biology, held the Ciba Foundation, London, July 3-5, 1990 (Ciba Foundation symposium 158), Chichester, England: John Wiley & Sons, Ltd., pp.213-230 (1991)			
	AN	Geysen, H.M., "Generation of Diverse Chemically Synthesized Peptide Libraries," Peptide Chemistry: Proceedings of the 2 <sup>nd</sup> Japan Symposium on Peptide Chemistry November 9-13, 1992, Shizuoka, Japan, Leiden, The Netherlands: ESCOM Science Publishers B.V., pp. 3-6 (1993).			
	AO	Harlow et al. (Eds.), "Storing and Purifying Antibodies," Chapter 8 in Antibodies: A Laboratory Manual, New York: Cold Spring Harbor Laboratory, pp. 285-318 (1988)			
	AP	Hassler, S., "Green Fluorescent Protein: The Next Generation," Bio/Technology 13: 103 (1995)			
	AQ	Hsu et al., "The use of antiavidin antibody and avidin – biotin – peroxidase complex in immunoperoxidase technics," Am. J. Clin. Path. 75: 816-821 (1981)			
	AR	Kabat et al. (Eds.), Sequences of Proteins of Immunological Interest, Fifth Edition, Volume I, U.S. Department of Health and Human Services, Public Health Service National Institutes of Health, NIH Publication No. 91-3242 pp. XVI (1991)			
	AS	Kumble et al., "Inactivation of a beta-glucosidase from Arthrobotrys conoides by diethyl pyrocarbonate: Evidence of histidine at the active site," Indian J Exp Biol. 30(2):99-102 (1992)			

Examiner Signature	Date Considered
Examiner dignature	Date Considered
	· · · · · · · · · · · · · · · · ·

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES AND METHODS USING THE SYSTEMS

Application No. Substitute Form PTO-1449 rtment of Commerce Attorney's Docket No. (Modified) and Trademark Office 10/699,114 17102-010001 / 1759 Applicant List of Patents and Publications for Applicant's Ault Riche et al. **Information Disclosure Statement** Filing Date Group Art Unit October 30, 2003 1645 (27 OFD 64 00/h))

(37 CFR §1.98	3(b))	October 30, 2003 1643
	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
	AT	Kumble et al., "Purification and characterisation of two endoglucanases from Arthrobotrys
	711	oligospora," Indian J Biochem Biophys. 27(3):146-50 (1990)
	AU	Lal et al., "Antibody arrays: an embryonic but rapidly growing technology," Drug Discov Today. 7(18 Suppl):S143-9. (2002)
	AV	Mutch, D.A. et al., "Effects of End Groups on the Stimulatory Capacity of Minimal Length T Cell
	AV	Determinant Peptides," Peptide Research. 4:132-137 (1991)
	AW	New England Biolabs 2000-01 Catalog & Technical Reference, New England Biolabs, Inc. pp 218-
	11,11	231 (2000)
	AX	Pellequer et al., "Predicting Location of Continous Epitopes in Proteins from their Primary
		Structures," Methods in Enzymology 203:176-201 (1991)
		Selkoe, D.J., "Cellular and Molecular Biology of the Beta-Amyloid Precursor Protein and
	AY	Alzheimer's Disease," Chapter 32 in The Molecular and Genetic Basis of Neurological Disease
		Rosenberg et al. (Eds.) Boston: Butterworth-Heinemann, pp.601-612 (1997)
	AZ	Stryer, L., "Fluorescence Energy Transfer as a Spectroscopic Ruler," Ann. Rev Biochem 47: 819-846 (1978)
	BA	Van der Meer et al. (Eds.), "Data," Chapter 7 in Resonance Energy Transfer Theory and Data
		New York: VCH Publishers, Inc. pp. 133-173 (1994)
		Wagner et al., "Ratio Encoding Combinatorial Libraries with Stable Isotopes and their Utility in
	BB	Pharmaceutical Research," Combinatorial Chemistry & High Throughput Screening,1:143-153
	-	(1998) We want to see al. "Melecular and his logical properties of an arbin A chain immunotavin
	BC	Wawryznaczak et al., "Molecular and biological properties of an arbin A chain immunotoxin designed for therapy of human smal cell lung cancer," Br. J. Cancer 66: 361-366 (1992)
	BD	Yang et al., "Quantitative Detection of Specific Gene Regulation with the Great EscAPe <sup>TM</sup> Secreted
		Alkaline Phosphatase (SEAP) Genetic Reporter System," CLONTECHniques IX(3): 1-5 (1994)

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES AND METHODS USING THE SYSTEMS